

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No.

1159.1004-005

First Named Inventor or
Application Identifier

Steven A. Bogen

Express Mail Label No.

EL552573405US

Title of
Invention

SLIDE STAINER WITH REMOVABLE REAGENT CARTRIDGE FRAME

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

6. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification [Total Pages [20]]
(preferred arrangement set forth below)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to microfiche Appendix
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

[] Pages

c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets [12]]
[X] Formal [] Informal

4. ☒ Oath or Declaration/POA [Total Pages [3]]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)

[NOTE Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)

9. ☐ 37 C.F.R. 3.73(b) Statement [] Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure [] Copies of IDS
Statement (IDS)/PTO-1449 Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ Small Entity [] Statement filed in prior application,
Statement(s) status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Remarks; Declaration under Rule 1.131;
copy of Grant Application

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.: 09/205,945

Prior application information: Examiner: M. Wallenhorst Group Art Unit: 1743

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Reg. Number

28,043

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| | | |
|--|------------------------|-----------------|
| FEE TRANSMITTAL FOR PATENT APPLICATIONS | Attorney Docket Number | 1159.1004-005 |
| | Application Number | |
| | First Named Inventor | Steven A. Bogen |

CLAIM CALCULATION (includes any preliminary amendment)

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|------------------|------------------|--------------------------------------|------------------|
| | TOTAL CLAIMS (37 CFR 1.16(c) or (j)) | 2 - 20* = | 0 | x \$ 18 = | \$ |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i)) | 2 - 3** = | 0 | x \$ 80 = | \$ |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | + \$ 270 = | \$ |
| | | | | BASIC FEE (37 CFR 1.16(a) or (h)) | \$ 710 |
| | | | | Total of above Calculations = | \$ 710 |
| | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) = | | | | \$ |
| | TOTAL = | | | | \$ 710 |
| | Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = | | | | \$ |
| | Petition for Extension of Time Fee (37 C.F.R. 1.17) = | | | | \$ |
| | Assignment Recordation Fee = (only when filed with application) | | | | \$ |
| | * Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent | | | TOTAL = | \$ 710 |

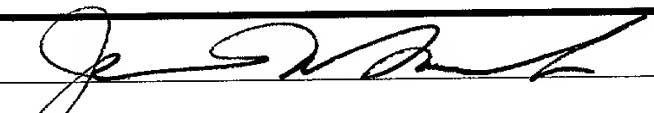
1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$710. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

| | | | |
|---------------------------------------|--|-------------|----------|
| Signature |  | Date | 10/30/02 |
| Submitted by Typed or Printed Name | James M. Smith, Esq. | Reg. Number | 28,043 |

JMS/cjh
10/30/00

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Date: 10-31-00 Express Mail Label No. E2552573405US

Inventor(s): Steven A. Bogen and Herbert H. Loeffler

Attorney's Docket No.: 1159.1004-005

SLIDE STAINER WITH REMOVABLE REAGENT CARTRIDGE FRAME

RELATED APPLICATION(S)

This application is a Continuation of U.S. Application No. 09/205,945 filed December 4, 1998, which is a Continuation-in-Part of U.S. Application No. 08/887,178, filed July 2, 1997, which is a Continuation-in-Part of U.S. Application No. 08/251,597, filed May 31, 1994, now U.S. Patent No. 5,645,114, which is a Continuation-in-Part of U.S. Application No. 07/881,397, filed on May 11, 1992, now U.S. Patent 5,316,452, the entire teachings of which are incorporated herein by reference.

GOVERNMENT SUPPORT

The invention was supported, in whole or in part, by a grant 1R43AI29778-02 from Department of Health and Human Services Public Health Service, Small Business Innovation Research Program. The Government has certain rights in the invention.

BACKGROUND OF THE INVENTION

This invention relates to a pump mechanism for dispensing small aliquots of a fluid, such as a biological reagent. It may serve as part of an apparatus which dispenses a plurality of reagents to be dispensed in small volumes.

Current methods for dispensing reagents generally use pumps which require the priming of tubing lines leading into and out of a pump. When the pumping is finished, the tubing lines must be flushed before a different reagent can be pumped, lest

cross-contamination of reagents occur. Because of the need for priming and clearing tubing lines, such types of pumps are not easily interchangeable.

Pumping systems using a syringe housing ("syringe pumps") are well known to those in the field. The syringe is first filled with a liquid. The liquid can then be
5 accurately dispensed by applying a precise pressure on the plunger, usually by an electromechanical actuator. The distance that the plunger is depressed directly controls the amount of fluid to be dispensed. Such syringe pumps have two advantages: 1) the absence of tubing lines leading into and out of a pump which must be primed and flushed, and 2) a separation of the wetted components from the electromechanical
10 controlling elements.

Such syringe pumps are useful in situations where repetitive dispensing of precise amounts of liquid are required. A drawback of such syringe pumps is that interchanging syringes on a single electromechanical actuator requires that the actuator mechanism be realigned with the position of the syringe plunger that is being inserted.
15 In circumstances where the syringes need to be changed often in order to change the dispensed reagent, the need for repetitive manual intervention to align the electromechanical actuator with the position of the syringe plunger is a disadvantage. This disadvantage will be more acutely felt in a dispensing instrument with many electromechanical actuators.

20 SUMMARY OF THE INVENTION

In accordance with an aspect of the present invention, a pump cartridge comprises a reagent reservoir for containing a liquid. The reservoir has a liquid flow outlet at the bottom thereof. A metering chamber is directly connected to the liquid flow outlet of the reagent reservoir. The metering chamber comprises a compressible
25 housing having a noncompressed shape. A one-way inlet valve and a one-way outlet valve are provided at respective ends of the compressible housing and are aligned in the same direction to allow unidirectional flow from the reservoir through the housing. The compressible housing may be compressed for the unidirectional ejection of a volume of

liquid from the metering chamber. The compressible housing returns to the noncompressed shape after cessation of compression to draw an additional volume of liquid into the metering chamber.

A cartridge pump in accordance with the present invention may be used as a component of a movable platform containing a plurality of electromechanical actuators. In this manner, any desired liquid reagent contained in any of the cartridges can be dispensed at any location underneath the platform's reach. Alternatively, the present invention includes a rotor containing reagents and a rotor containing slides that rotate on the same axis. A single actuator is located on a station enabling the slides to be accessed by the reagents. At the end of the working session, the cartridges can be easily replaced with different cartridges using the same electromechanical actuators without the need for aligning electromechanical actuators with the cartridges. This aspect increases the versatility of the dispensing instrument as a whole.

In a dispensing assembly, a pump cartridge frame may hold the pump cartridge in a fixed position with respect to an actuator capable of compressing the compressible housing of the pump cartridge. Preferably, the actuator is an electromechanical actuator. The dispensing assembly may be mounted on a moveable platform for dispensing various reagents in various sample cells. In one embodiment, a plurality of electromechanical actuators are positioned adjacent to a plurality of receptacles on the frame into which a plurality of pump cartridges can be fit.

The cartridge may have one or more ridges extending outwardly from its external surface to serve as keys in grooves in a supporting frame. Cartridges may be coded by the circumferential positions of ridges to assure that cartridges containing particular reagents are inserted in appropriate locations in the frame.

According to another aspect of the invention, a dispensing assembly comprises an assembly base and a slide rotor adapted to carry a plurality of slides holding tissue samples. This slide rotor is capable of rotating on the assembly base. Further, a reagent rotor adapted to carry a plurality of different reagents sits above the slide rotor and is also capable of rotating on the assembly base.

In preferred embodiments, slide frames are provided for holding the slides in the slide rotor. The slide frames are radially insertable into the slide rotor. These slide frames themselves comprise a slide frame base adapted to support a plurality of slides and containing resistive heating units for heating each one of these slides. A

- 5 thermocouple can also be provided to detect the temperature of the slides as heated by the resistive heating units. A slide frame housing is adapted to sealably fit over the slide frame base to create cavities over each of the slides and place each of these slides in fluid isolation from each other.

- The reagent rotor carries at least one pump cartridge frame that comprises a
10 plurality of receptacles for receiving a plurality of cartridge pumps. These cartridge pumps comprise a reservoir for containing a reagent, a resilient metering chamber in fluid communication with an outlet of the reservoir and a one way inlet valve and one way outlet valve at each end of the resilient metering chamber.

- The dispensing assembly further comprises a dispensing station positioned
15 adjacent to each of the slide rotor and the reagent rotor. This dispensing station comprises an actuator adapted to deform the resilient metering chamber in a cartridge pump so that a volume of reagent contained in that cartridge pump is ejected into a slide underneath the cartridge pump held by the slide rotor. This dispensing station also includes a plurality of pressurized rinse bottles and rinse tubes that extend from the rinse
20 station above the slides held by the slide rotor. As such, they can convey rinsing solutions by the opening of pinch valves to the slides underneath the ends of the rinse tubes. Still further, the dispensing station includes a vacuum bottle and vacuum hose that is extendable into a cavity above the slides on the slide rotor to enable removal of rinse solutions covering the slides.

- 25 According to another aspect, the reagent reservoir of the cartridge pumps may contain a plunger above the liquid in the reagent reservoir. The plunger is capable of moving within the reservoir as liquid is drawn out of the reservoir through the liquid flow outlet. Preferably, the plunger has a frictional force against the inner wall of the reservoir which is greater than the gravity pressure of the liquid in the reservoir in order

to prevent spontaneous dripping of the liquid out of the outlet valve. Alternatively, the outlet valve in its normally closed position may itself have an opening pressure which is greater than the gravity pressure applied by the liquid in the reservoir. Alternatives to the plunger include a one-way valve at the top of the reservoir, a rolling diaphragm at the top of the reservoir and a small aperture at the top of the reservoir.

To reduce the flow velocity of liquid during ejection, a nozzle with an inner diameter which is greater than the opening diameter of the outlet valve may be positioned below the outlet valve.

To absorb some of the initial force upon impact of the actuator against the tubing, the actuator may be a compressible piston hammer mounted on a piston arm.

The interchangeable pump cartridge of the present invention can be accepted into a dispensing assembly with an electromechanical actuator regardless of the amount of liquid in the cartridge reservoir. The cartridge maintains a separation of the wetted and electromechanical components and does not require priming of tubing lines before and after pumping. Moreover, it may be produced inexpensively and therefore can be disposed of when the reagent in the cartridge is exhausted. As a further advantage over syringe pumps, the cartridge pump of the present invention allows for dispersing of relatively small, precisely metered volumes.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, features and advantages of the invention will be apparent from the following more particular description of preferred embodiments of the invention, as illustrated in the accompanying drawings in which like reference characters refer to the same parts throughout the different views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principles of the invention.

Figure 1 is a cross-sectional view of the pump cartridge and dispensing actuator mounted on a frame.

Figure 2 is a perspective view of the pump cartridge reservoir.

Figure 3 is a view from above of the pump cartridge.

Figure 4 is a view from above of a plurality of pump cartridges mounted on a first embodiment dispensing assembly including a rectangular frame and chassis of an X-Y axis robot.

5 Figure 5 is a perspective view of a dispensing assembly of a second embodiment of the invention.

Figure 6 is a top view of a slide frame for providing five sealed cavities above five different slides holding tissue samples.

Figure 7 is a top view of a slide frame base.

10 Figure 8 is a top view of a slide frame housing.

Figure 9 is a side cross-sectional view showing the dispensing actuator of the dispensing station and an exemplary cartridge pump being engaged by the dispensing actuator.

15 Figure 10 is a side cross-sectional view of a rinse device housed in the dispensing station.

Figures 11A and 11B are side cross-sectional views of a vacuum hose and transport mechanism for removing rinse and reagent from slides contained on the slide rotor.

20 Figures 12-14 are cross-sectional views of the uppermost portion of the cartridge reservoir, demonstrating alternative constructions.

Figures 15 and 16 are longitudinal sectional views of an alternative dispenser pump cartridge embodying the invention.

Figure 17 is a longitudinal sectional view of the metering chamber tubing of the embodiment of Figures 15 and 16.

25 Figure 18 is a cross-sectional view of a valve needle and plate used in the embodiment of Figures 15 and 16.

DETAILED DESCRIPTION OF THE INVENTION

A description of preferred embodiments of the invention follows.

Referring to Figure 1, the cartridge pump CP comprises a pump cartridge reservoir 1 in the shape of a cylindrical barrel. The cartridge reservoir 1 has a lower outlet 11 which is directly connected to a metering chamber comprised of a segment of compressible tubing 2, an inlet valve 3, and an outlet valve 4. The distance between the inlet valve 3 and the outlet valve 4, and the inner diameter of the tubing 2 defines a volume which can be filled with a liquid. A nozzle 5 is placed below the outlet valve 4 for the purpose of decreasing the flow velocity of the liquid. The cartridge reservoir contains a volume of liquid 12 which is sealed from above by a sliding plunger 6. The cartridge reservoir 1, inlet valve 3, outlet valve 4, plunger 6, metering chamber 2, and nozzle 5 are the components of the cartridge pump CP.

In a first embodiment of a dispensing assembly, the cartridge pump CP rests on a rectangular frame 7 which can be made of plastic. A single rectangular frame 7 can hold a plurality of cartridge pumps CP. The rectangular frame 7 can be removed from the chassis 8 by simply lifting the frame, thereby lifting all the cartridge pumps with it. In this manner, the wetted components can be easily separated from the electromechanical components.

The first embodiment dispensing assembly further includes dispensing actuators DA. Each dispensing actuator DA comprises a solenoid 9, arm 22, and rubber hammer 1. When an electrical current is applied to the solenoid 9, the arm 22 extends forcefully, thereby pressing the rubber hammer 10 against the outer wall of the metering chamber tubing 2. This action deforms the tubing, causing the compressible tubing to assume a compressed shape 2a. Since the total volume inside the metering chamber between the valves 3 and 4 is decreased, a volume of liquid is expelled in the direction defined by the valves 3 and 4. In Figure 1, the valves are shown as allowing fluid in the downward direction only. Since the diameter of the outlet valve 4 leaflets is comparatively narrow relative to the diameter of the tubing 2, the fluid has a high flow velocity. This results in a forceful squirting of the liquid. This aspect is often undesirable, since it may lead to splattering of the liquid if the object surface of the fluid is situated immediately below. Therefore, the nozzle 5 is placed below the outlet valve 4. The nozzle has an inner

diameter greater than the diameter of the outlet valve 4 leaflets. This aspect causes the high velocity fluid to first accumulate in the space above and within the inner aspect of the nozzle. The liquid thus exits the nozzle 5 at a slower velocity, ideally in a dropwise manner.

5 The rubber hammer 10 is also compressible in order to further decrease the flow velocity of the liquid. Most solenoids tend to extend suddenly and forcefully. This results in a very rapid compression of the tubing 2. In order to decrease this rate of compression, the solenoid arm is fitted with a compressible rubber hammer 10 which absorbs some of the initial force upon impact with the tubing 2.

10 The tubing 2 can be made of silicone rubber, vinyl, polyurethane, flexible polyvinyl chloride (PVC) or other synthetic or natural resilient elastomers. Such types of tubing are commonly used for peristaltic pumps. The valves can be obtained from Vernay Laboratories, Inc., Yellow Springs, Ohio, 45387 (part #VL 743-102).

15 When the electrical current is removed from the solenoid 9, the arm 22 and rubber hammer 10 is retracted from the surface of the tubing 2. The tubing in the compressed position 2a thereby reverts back to its native position 2 because of the resiliency of the tubing. The reversion of the tubing to its native position results in a negative pressure being created within the metering chamber, causing liquid 12 to be drawn from the pump reservoir 1 into the metering chamber. The metering chamber is
20 therefore automatically primed for the next pump cycle.

Referring to Figure 2, the outer aspect of the pump cartridge reservoir 1 has longitudinal ridges 13. These ridges fit into grooves in the frame 7, see Figure 1, in a lock and key fashion. Different cartridges are manufactured with different patterns of ridges in order to identify the contents. In this manner, any particular cartridge will fit
25 only into a position of the frame with a corresponding pattern of grooves. This feature will prevent the possibility of the operator placing the cartridge in an unintended position of the frame.

Referring to Figure 3, this shows the variety of possible positions for ridges 13 on the outer surface of the pump cartridge reservoir 1.

Referring to Figure 4, this shows the first embodiment of the dispensing assembly comprising a rectangular frame 7 having plurality of slots 14 for cartridge pumps in position on the chassis 8 a different dispensing actuator DA being associated with each cartridge pump CP. The chassis is mounted on a pair of cylindrical bars 15.

- 5 In this case one of the bars is threaded and attached to a motor 16. Alternatively, a cable drive may be provided. The motor can be a conventional stepping motor or servo motor and driven by a computer-generated signal through an electronic interface.

Figure 5 shows a second embodiment 500 of a dispensing assembly in perspective. Generally, the dispensing assembly 500 comprises a substantially circular
10 assembly base 502, a slide rotor 504 rotatable on the assembly base 502, a reagent rotor 506 also rotatable on the assembly base, and a dispensing station 508.

The slide rotor 504 is driven to rotate by a servo motor (not shown) and carries ten slide frames 510 that are radially asserted into and detachable from it. A top view of single slide frame 510 is shown in Figure 6. Here, a different slide holding a tissue
15 sample is held in each slide position 512a-512e. The slide frame 510 comprises a slide frame base 514 shown in Figure 7. The slide frame base includes a plurality of heated areas 516 which underlie each of the slide positions 512a-512e and incorporate resistive heating elements, not shown. The heating elements are integrally formed in the slide frame base 514. Electricity for powering the elements is provided into the slide frame
20 510 from the assembly base 502 via first and second contacts. Further, third and fourth contacts 520 enable temperature sensing of the heated areas via thermocouples also integrally formed in the slide frame base 514. Adapted to overlay the slide frame base is a slide frame housing 522. Figure 8 is a top view of the slide frame housing 522 showing essentially a rigid plastic or metal frame 524 with five oval holes 526a-526e
25 corresponding to each of the slide positions 512a-512e. A silicon rubber gasket 528 is also provided under the plastic frame 524. Returning to Figure 6, the slide frame housing 522, including the gasket 528 and plastic frame 524, is bolted onto the slide frame base 514 by two Allen bolts 530 to provide individual sealed cavities approximately 0.2-0.4 inches deep over each tissue sample slide placed at each of the

slide positions 512a-512e. As a result, a total of 3 ml of reagents and/or rinses can be placed in contact with the tissue samples of each one of the slides but a maximum quantity of 2 ml is preferable. Since the silicone gasket 528 is compressed by the plastic frame 522 against the slide frame base 514, the cavities over each of the frame positions
5 are mutually sealed from each other.

Returning to Figure 5, above the slide rotor 504 is a non-rotating slide cover 532. This disk-like structure rides above the slide rotor 504 but does not turn with the slide rotor. Basically, it forms a cover for all of the tissue samples held in each of the slide frames 510 so that evaporation of reagents or rinses contained on the slides can be
10 inhibited and also so that environmental contamination of the tissue samples is prevented.

Positioned above the slide rotor 504 is the reagent rotor 506. This reagent rotor 506 is similarly adapted to rotate on the assembly base 502 and is driven by another servo motor (not shown) so that the reagent rotor 506 and slide rotor 504 can rotate
15 independently from each other. The reagent rotor 506 is adapted to carry up to ten arcuate cartridge frames 534. These arcuate cartridge frames are detachable from the reagent rotor 506 and can be selectively attached at any one of the ten possible points of connection. Each arcuate cartridge frame 534 is capable of carrying five of the reagent cartridge pumps CP. A cross sectional view illustrating the arcuate cartridge frame as
20 shown in Figure 9. As illustrated, the reagent cartridge pump CP is vertically insertable down into a slot 536 in the arcuate cartridge frame 534 so that the nozzle tip 538 extends down below the cartridge frame and the meter chamber tubing 2 is exposed. The arcuate cartridge frame 534 including any cartridge pumps CP is then slidably insertable onto the reagent rotor 506.

25 Generally, the dispensing station 508 comprises a dispensing actuator DA for engaging the meter chamber tubing 2 of any one of the reagent cartridge pumps CP in any slot in any one of the arcuate cartridge frames 534. Further, the dispensing station 508 includes rinse bottles 540 that can supply rinses into any one of the slides on any one of the slide frames 510 via rinse tubes 542, and a rinse removal vacuum 544

including a vacuum tube that is extendable down into any one of the cavities in the slide frames 510 to remove rinse or reagent.

Specifically, the dispensing station 508 includes a station frame that has a front wall 546 generally following the curvature of the assembly base 502. The station frame also includes a horizontal top wall 548 continuous with the front wall 546 and from which rinse bottles 540 are hung. The front wall 546 of the station housing supports a single dispensing actuator DA. As best shown in connection with Figure 9, the dispensing actuator DA includes a solenoid or linear stepping motor 9, an arm 22, and a compressible rubber hammer 10 as described in connection with the dispensing actuator illustrated in Figure 1. Use of a linear stepping motor instead of a solenoid somewhat negates the necessity of the rubber hammer being highly compressible since the rate of extension of linear stepping motors can be controlled to a slow speed. Because only a single dispensing actuator is required in the second embodiment, more expensive alternatives such as the linear stepping motor are preferable. As another possible alternative, the reciprocating hammer of the dispensing actuator could take the form of a cam, driven by a rotary motor, that engages the compressible tubing so that rotation of the cam will deform the compressible tubing.

Upon actuation of the solenoid 9, the rubber hammer 10 extends outwardly to engage the compressible tubing 2 of the particular cartridge pump CP that has been rotated into position in front of the dispensing actuator DA on the reagent rotor 504. The liquid dispensed from the pump cartridge CP by the action of the dispensing actuator DA falls down through a hole 550 formed in the slide cover 532 into the particular medical slide that has been brought into position in front of the dispensing actuator DA by the rotation of the slide rotor 504. In this way, any one of fifty slides, which the slide rotor 504 is capable of carrying, can be accessed and treated with any one of fifty different reagents that the reagent rotor 506 is capable of carrying in the cartridge pumps CP by properly rotating both the reagent rotor and the slide rotor. By this method both the reagent cartridge pump CP carrying the desired reagent and the

slide which the operator intends to receive this reagent are brought to circumferential position of the dispensing actuator DA.

The dispensing station 508 also carries up to eight different rinses that can be delivered through rinse tubes 542 to any one of the slides held on the slide rotor 504.

5 As shown in Figure 10, the rinse bottles 540 are screwed into a female threaded cap 552 secured to the underside of the horizontal top wall 546 of the station frame. Compressed air is from a compressor 554 is provided into each one of the rinse bottles 540. The pressure above the rinse then enables the rinse to be forced out through the dip tube 556 through rinse hose 558 when a pinch valve 560 is opened. Depending on
10 the length of time that the pinch valve is opened, a predetermined amount of rinse can be provided out through the rinse tube 542 into the particular medical slide that has been brought underneath the rinse tube end 562 by the rotation of the slide rotor. Eight different rinse tubes 542 corresponding to each rinse bottle 540 and each controlled by a separate pinch valve. Eight holes are provided in the slide cover 532 underneath the
15 ends of the rinse tubes 542 so that the rinse can reach the slides.

Returning to Figure 5, also provided on the vertical wall 544 of the station housing is an extendable vacuum hose 544. As more completely shown in cross section in Figure 11A, the vacuum hose 544 is supported by a hose transport mechanism 570 that allows the vacuum hose 544 to be extended down into a cavity of a slide frame 510 to remove any rinse and reagent covering the tissue sample of the slide. Specifically, the suction is created by a partial vacuum generated in vacuum bottle 572 by a compressor, not shown. Consequently, the rinse and reagent is sucked in through the vacuum hose 544 and into the vacuum bottle when the vacuum hose transport mechanism 570 brings the vacuum hose end in contact with the rinse and/or reagent in cavity of the slide frame 510.

The vacuum hose transport mechanism comprises a motor 574. A reciprocating link 576 is attached to a crank arm 575 so that the rotation of the motor 574 causes the reciprocating link 576 to traverse in a vertical direction. A bottom portion of the reciprocating link 576 is connected to a lever 578 that is pivotally attached to the station

frame. The other end of this lever is connected to a vacuum hose clamp 580 that is connected via to pivot arms 582 to a plate 584 rigidly attached to the station frame. The net effect of these connections is that when the motor 574 is rotated, the slide arm 576 descends in the vertical direction. Thus, the lever 578 is pivoted clockwise around its fulcrum causing the hose clamp 580 to pivot up and away on the two pivot arms 582 from the slide as shown in Figure 11b. The motor is automatically turned off as the slide reaches its two extreme ends of movement by the contact of the electrical terminals 584 of the slide to the contact plates 586 connected to the station frame.

A microprocessor, not shown, controls the entire dispensing assembly 500. That is, an operator programs the microprocessor with the information such as the location of reagents on the reagent rotor and the location of slides on the slide rotor. The operator then programs the particular histochemical protocol to be performed on the tissue samples. Variables in these protocols can include the particular reagent used on the tissue sample, the time that the tissue sample is allowed to react with the reagent, whether the tissue sample is then heated to exposed or develop the tissue sample, the rinse that is then used to deactivate the reagent, followed by the subsequent removal of the rinse and reagent to allow subsequent exposure to a possibly different reagent. The dispensing assembly enables complete random access, i.e. any reagent to any slide in any sequence.

An important aspect of the above-described invention is its ability to retain the fluid until such time as the solenoid hammer 10 presses on the metering chamber tubing 2. As will be noted from Figure 1, both one-way valves 3 and 4 are aligned in the same direction, allowing only downward flow. It was found during construction that using valves with a low opening ("cracking") pressure resulted in the liquid dripping out of the nozzle. There are two solutions to this problem. The most obvious is to use valves with an opening pressure greater than the pressure head of liquid. In this manner, the outlet valve 4 will not allow fluid exit until a certain minimum force is applied which is greater than the pressure head of the standing liquid.

A second alternative to prevent spontaneous dripping of the liquid out of the outlet valve 4 is to use a plunger 6 with an amount of friction against the inner surface of the reservoir 1 greater than the gravity pressure of the liquid 12. An additional advantage of the plunger 6 is that it prevents spillage of the liquid 12 from the top of the reservoir 1 (which would likely occur if the reservoir were left open from above). In this manner, the plunger will not be drawn downwards inside the reservoir merely by the weight of the liquid. However, when the metering chamber is emptied and a small amount of liquid is drawn from the reservoir 1 to refill the metering chamber, the plunger's friction to the reservoir wall is overcome. The plunger 6 thereby moves downward a distance proportional to the volume of liquid expelled. We have found it useful to apply a thin coat of a lubricant such as petroleum jelly to ensure that the plunger 6 moves smoothly downward within the reservoir.

Any combination of valve opening pressure and plunger friction may be used to prevent dripping, but given the low opening pressure typically found in valves of the type used, friction greater than gravity pressure of the liquid is preferred.

Figure 12 shows another alternative construction of the cartridge top. Instead of using a plunger, a one-way valve 17 is placed at the top of the reservoir 1. The valve 17 has an opening pressure greater than the gravity pressure of the liquid within the reservoir. This third valve 17 is aligned in the same direction as the metering chamber valves 3 and 4. This allows the entrance of air into the reservoir as liquid is removed. In this case, cracking pressure of any or all of the three valves 3, 4 and 17 prevents spontaneous dripping from the outlet valve. Additionally, the valve 17 prevents spillage of the contents of the reservoir.

Figure 13 shows another alternative construction for the top of the cartridge. A rolling diaphragm cover 18 is mounted at the top of the reservoir 1 and is drawn into the reservoir as the liquid is used up. This construction prevents spillage of the liquid 12 as well as provides a seal to prevent air entry. The rolling diaphragm can be made of any thin flexible elastomer such as natural rubber. The top of the rolling diaphragm can be

sealed to the reservoir wall 1 by stretching the diaphragm over the reservoir, with an adhesive or by heat sealing.

Figure 14 demonstrates a third alternative construction. The top of the reservoir is closed, except for a small aperture 19 for the entrance of air. The diameter of the aperture at the top of the reservoir can be sufficiently small to effectively prevent
5 accidental spillage of the liquid contents of the cartridge but still allow air entry as liquid is dispensed from the cartridge.

A fluid level sensor may be provided adjacent to the cartridge reservoir. For example, a shaft can be connected to the top of the plunger. The shaft can be designed
10 with a shape such that as it is drawn into the cartridge reservoir, it can optically or electrically open or close a circuit at a certain depth within the cartridge reservoir. In this manner, the shaft connected to the plunger can signal to a computer the depth of entry into the cartridge reservoir. The depth of entry would therefore be directly proportional to the amount of liquid remaining in the cartridge reservoir. Such an
15 arrangement provides an automatic means for sensing the amount of liquid remaining inside the reservoir.

A variety of different configurations for the dispensing actuators DA may be used to apply pressure on the metering chamber tubing. Although a push-type of actuator DA is shown in Figure 1, a rotary or pull-type could also be used with slight
20 modifications to the design, as would be obvious so as to apply a pressure on the metering chamber tubing. Additionally, a solenoid valve could also be used to control pressure to a pneumatic cylinder whose piston rod is the actuator. Alternatively, a piezoelectric transducer may apply the pressure to the metering chamber tubing.

An alternative dispensing pump cartridge is illustrated in Figures 15 through 18.
25 As in prior embodiments, the pump cartridge includes a liquid reservoir, in this case a flexible plastic bag 612 within a rigid housing 614. Figures 15 and 16 show the housing and longitudinal section in views from the front and side. Figure 16 shows the bag collapsed, it being recognized that it would expand to fill the volume within the housing when filled with liquid. The open end of the reservoir bag 612 fits snugly about an inlet

end of a metering chamber tube 616 and is clamped and thus sealed to the tube by a plate 618 which also serves as a closure to the housing 614. As in prior embodiments, the tube 616 is adapted to be compressed by an actuator 10 to expel liquid through a one-way outlet valve 620. When the actuator 10 is then released, the wall of the tube
5 616 returns to its native position and thus creates negative pressure within the metering chamber. That negative pressure draws liquid from the liquid reservoir 612 through a one-way inlet valve 622 into the metering chamber. Significantly, both valves are passive check valves, the dispensing being controlled by the single actuator 10. Mechanical complexity is avoided, and a cartridge may be readily replaced by dropping
10 the cartridge into place with the tubing of the metering chamber positioned adjacent to the actuator 10.

The novel valves of this embodiment provide relatively large sealing forces to minimize leakage while still requiring very small pressure differential to open. Further, the flow path below the sealing surface of the outlet valve 620 is minimal, thus
15 minimizing any caking of reagent on flow surfaces. As in the embodiment of Figure 1, the one-way valves are formed from flexible leaflets. However, in this embodiment a leaflet takes the form of a flat membrane having a central pinhole which seals against a pointed protrusion. Specifically, in the outlet valve 68, a membrane 624 is preferably formed of unitary plastic with the tube 616. A disk 626 (Figure 18) snaps between the
20 membrane 624 and a molded flange 628 within the metering chamber tube 616 (Figure 17). A valve needle 630 extends as a protrusion from the plate 626. The needle may be a separate piece press fit into the plate 626 as illustrated in Figure 18, or it may be molded as a unitary piece with the plate 626. The tip of the valve needle 630 extends into the pinhole 632 within the membrane 624, thus flexing the membrane in an
25 outward direction. Due to the resiliency of the membrane, it presses back against the valve needle 630 with a sealing force sufficient to withstand the pressure head of the liquid contained within the metering chamber tube 616.

The plate 626 has a hole 634 to allow fluid flow therethrough. When the tube 616 is compressed by the actuator 10, the increased pressure within the metering

chamber is applied across the entire upper surface area of the membrane 624 such that a low level of pressure is required to cause the membrane to flex and break the seal about the valve needle 630. Liquid then flows through the hole 634 and the pinhole 632.

The inlet valve 622 is similarly constructed with a membrane 636 and valve
5 needle plate 638 retained within the internal flanges 640 and 642 in the metering chamber tube 616. With the low pressure differential required to open the valve, the tube 616 is able to return to its native position and draw liquid into the metering chamber from the reservoir 612. On the other hand, when the actuator 10 compresses the metering chamber 616, the force against the membrane 636 is sufficient to seal that
10 membrane against the valve needle of the plate 638.

While this invention has been particularly shown and described with references to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined by the appended claims. For example, the
15 pump is operable with the metering chamber positioned above the reservoir. Disclosure Document No. 252981 filed May 10, 1990 at the U.S. Patent and Trademark Office shows details of a potential system embodying the present invention.

A more recent embodiment of the invention is presented in U.S. patent application No. 09/032,676, entitled, "Random Access Slide Stainer With Independent
20 Slide Heating Regulation," filed February 27, 1998, which is incorporated by reference in its entirety.

CLAIMS

What is claimed is:

1. A method for dispensing liquid reagent onto a microscope slide bearing a biological specimen, comprising the steps of:
- 5 providing a microscope slide bearing a biologic specimen;
- providing a liquid reagent cartridge comprising a liquid reagent reservoir and a liquid reagent metering chamber;
- inserting said liquid reagent cartridge into a reagent cartridge frame, said reagent cartridge frame having receptacles for more than one liquid reagent cartridge;
- 10 positioning said reagent cartridge frame onto a platform;
- moving said liquid reagent cartridge and said microscope slide relative to each other so that said liquid reagent cartridge is positioned approximately above said microscope slide;
- 15 causing an actuator to act upon said liquid reagent cartridge so as to dispense liquid reagent;
- stopping the actuator from acting to dispense liquid reagent, and causing reagent to re-fill said metering chamber by flowing from said liquid reagent reservoir; and
- 20 removing said liquid reagent cartridge frame with the liquid reagent cartridge from said platform.
2. A liquid reagent dispensing assembly with a removable reagent tray for dispensing liquid reagents onto microscope slides comprising:
- a slide platform capable of holding at least one microscope slide;
- 25 a reagent platform positioned approximately above said slide platform and being capable of movement under computer control;

liquid reagent contained in a liquid reagent cartridge, said cartridge comprising a liquid reagent reservoir and a liquid reagent metering chamber;
a liquid reagent cartridge frame having a plurality of receptacles for holding liquid reagent cartridges, said frame being removable from said reagent platform;
5 an electrically controlled actuator capable of acting upon said liquid reagent cartridge so as to cause liquid reagent to be dispensed onto said microscope slide.

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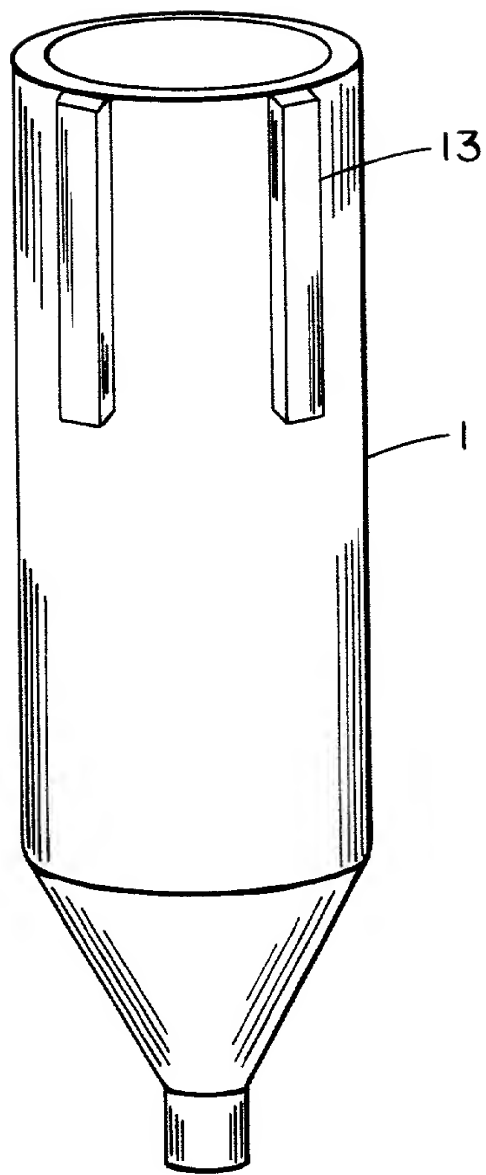


FIG. 2

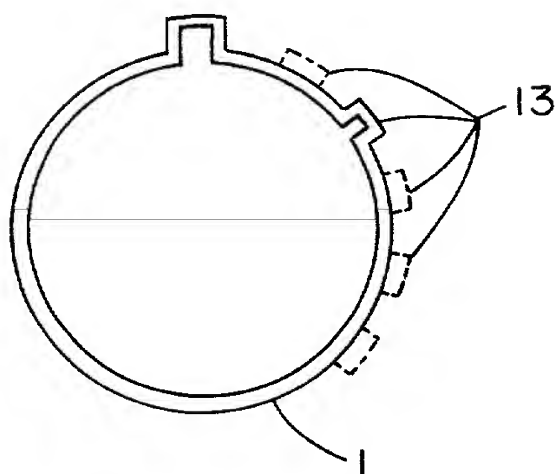


FIG. 3

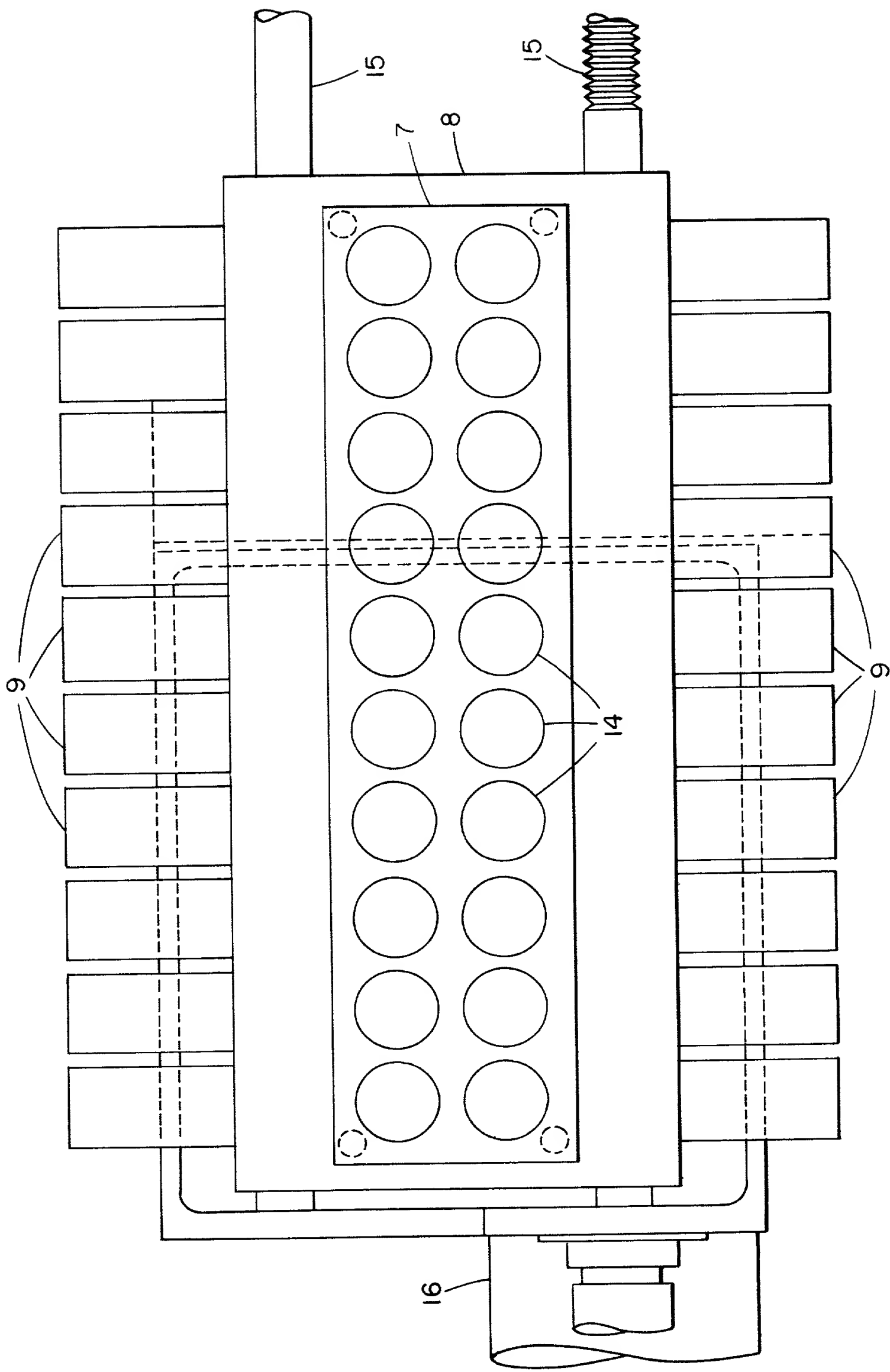


FIG. 4

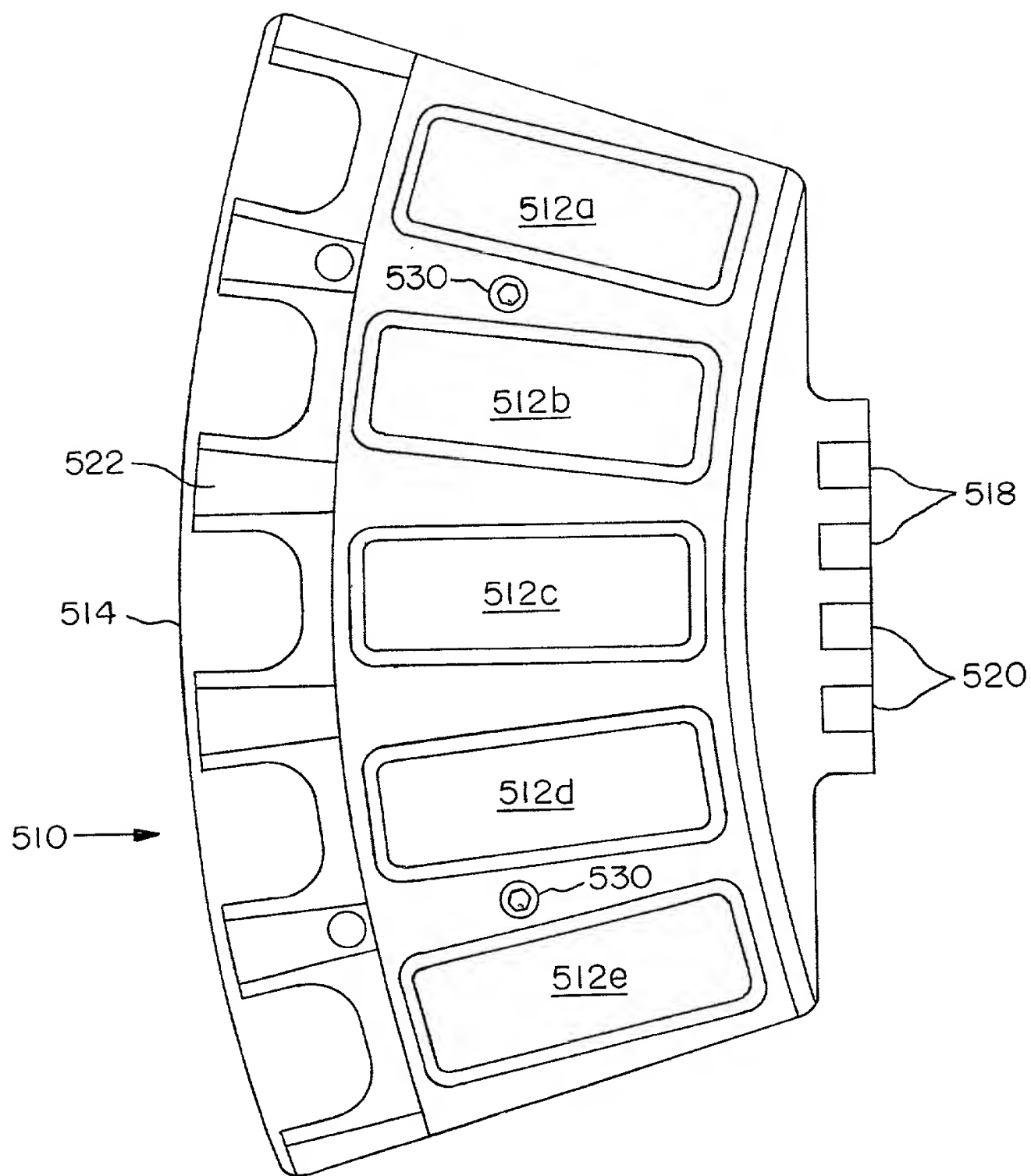


FIG. 6

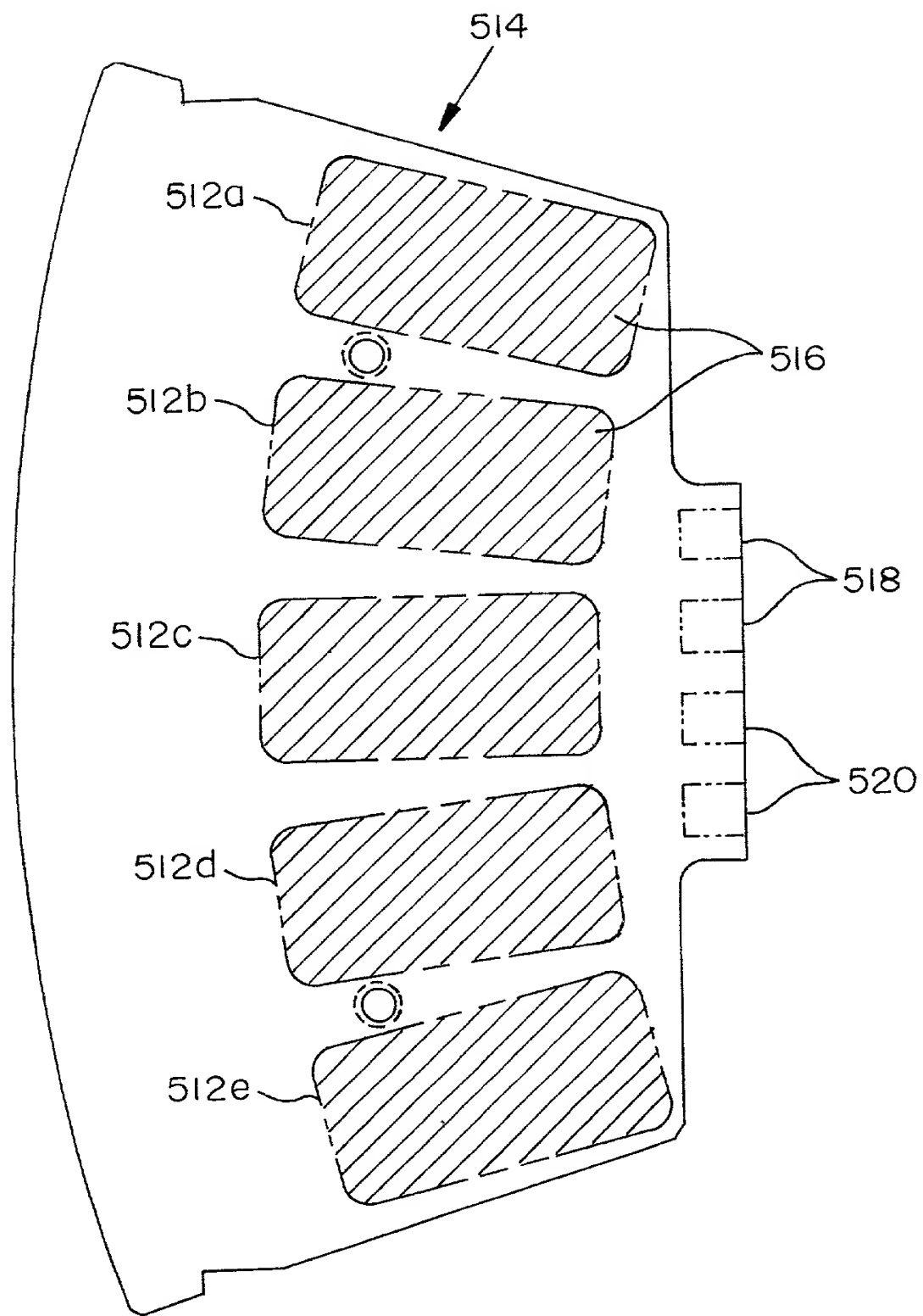


FIG. 7

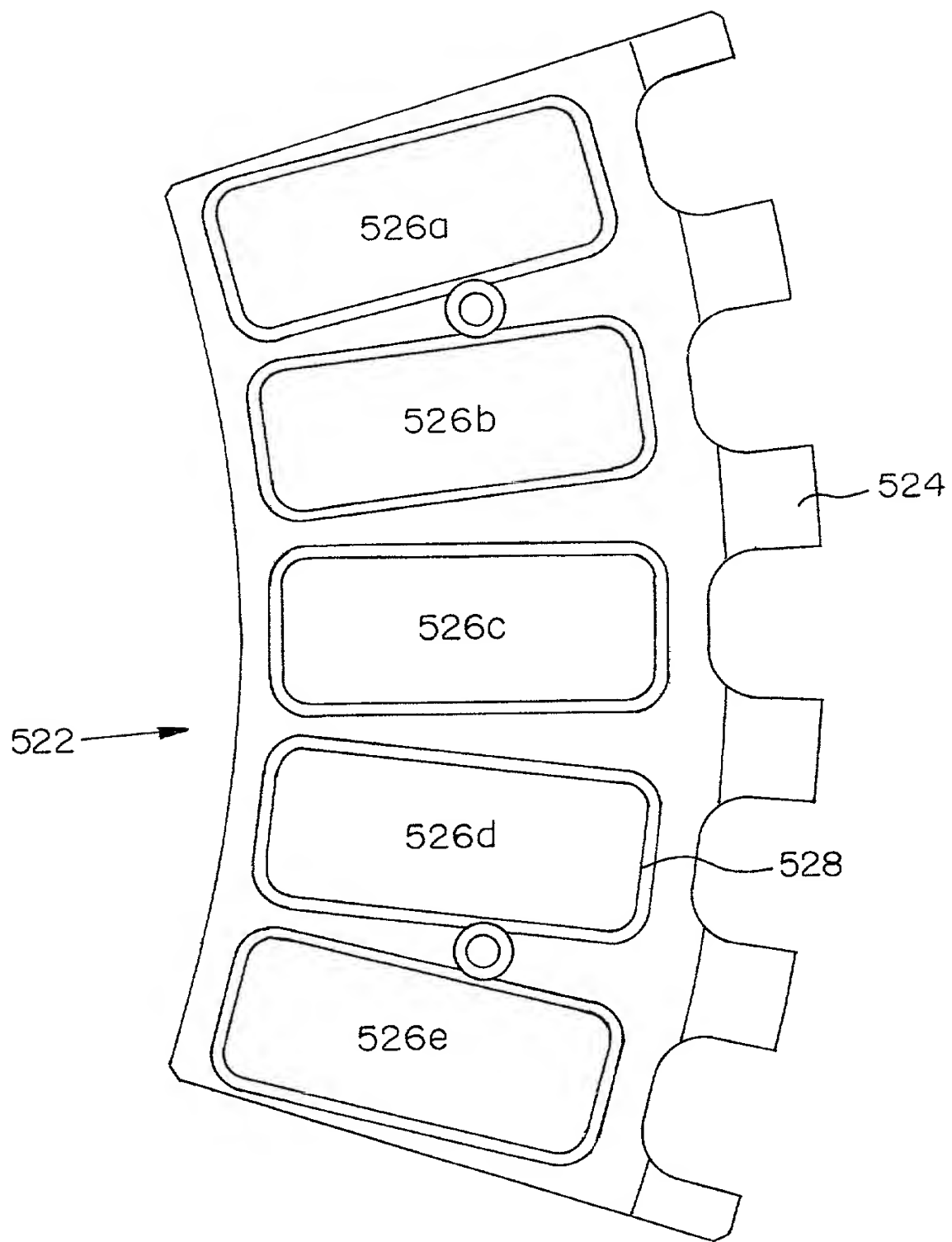


FIG. 8

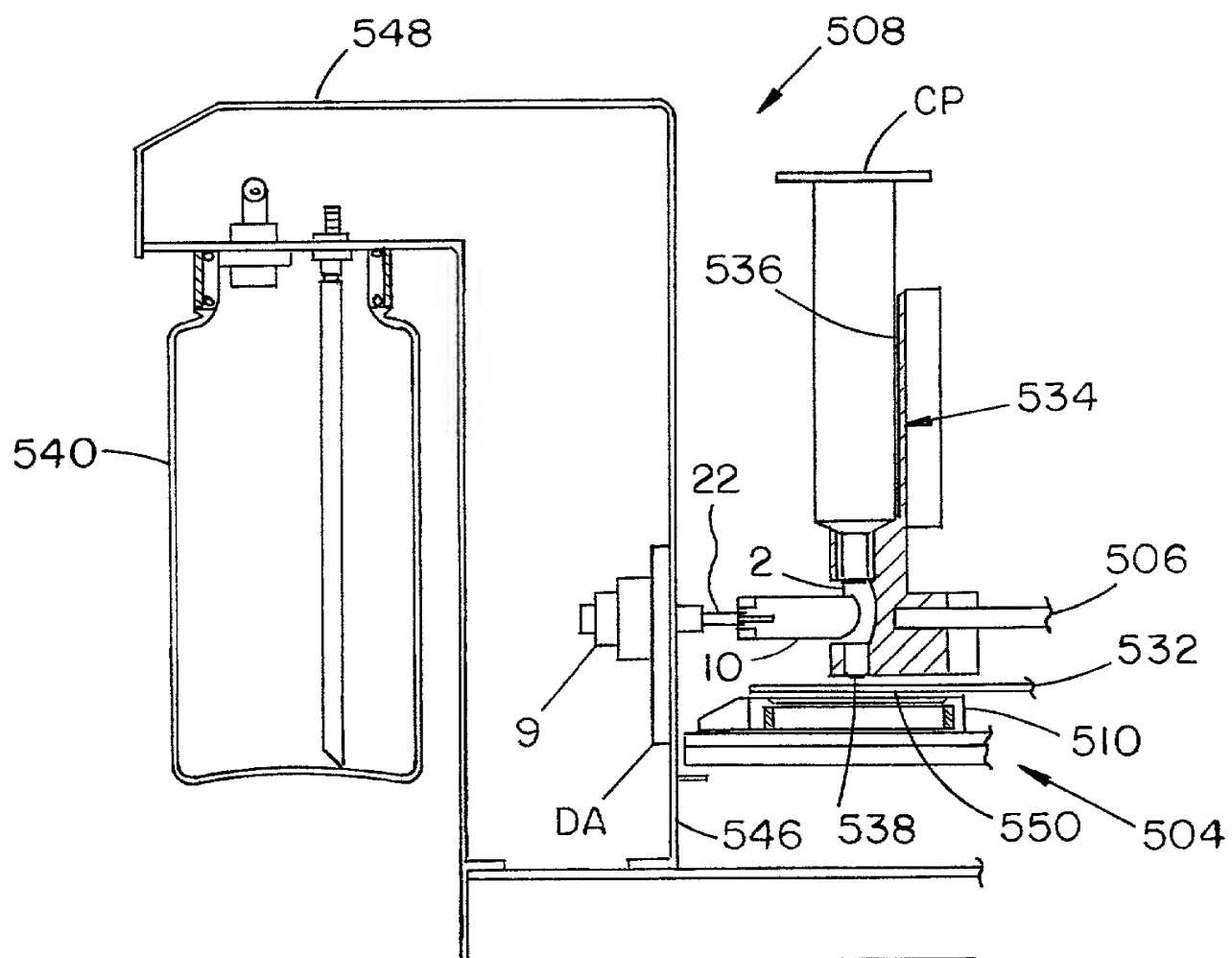


FIG. 9

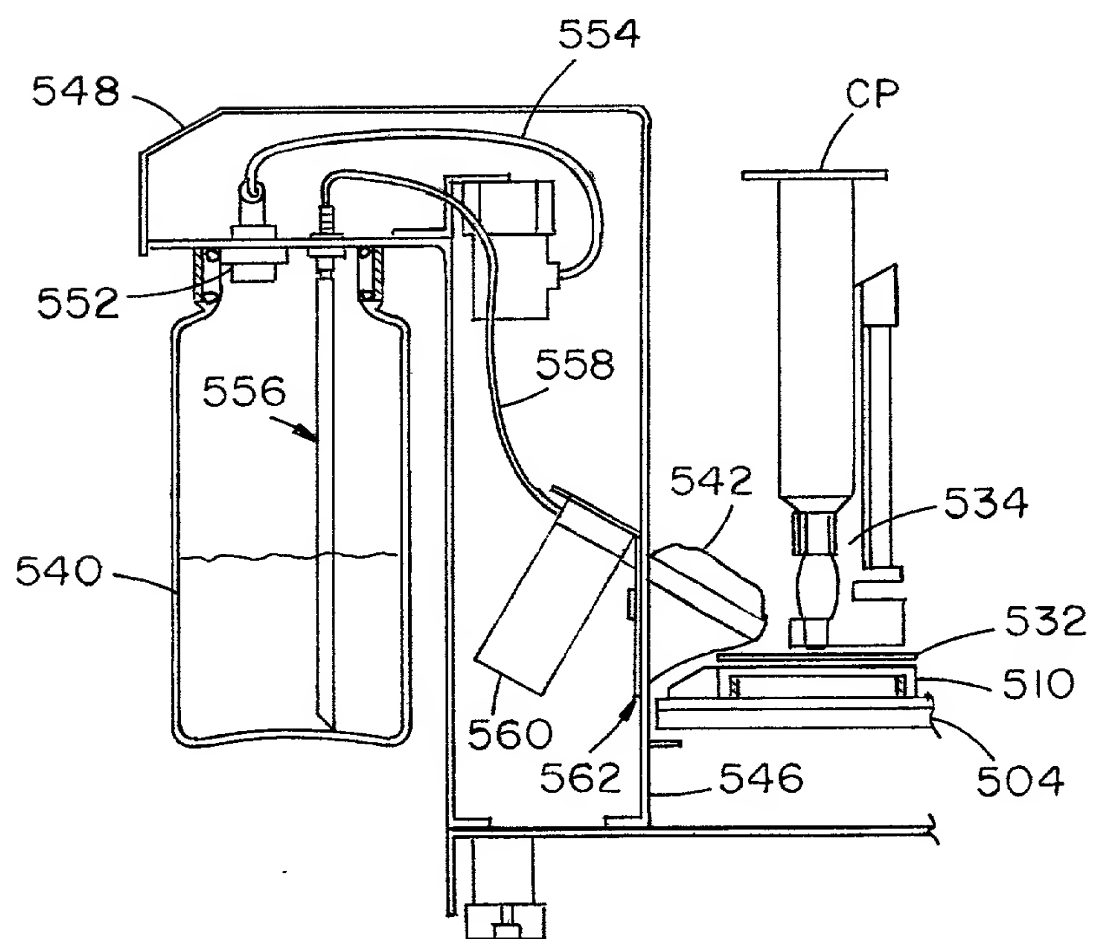


FIG. 10

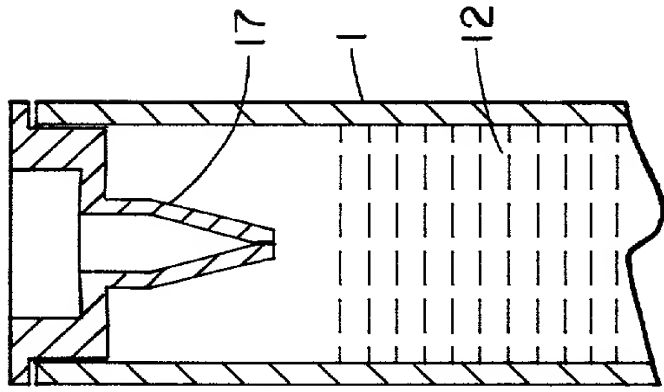


FIG. 12

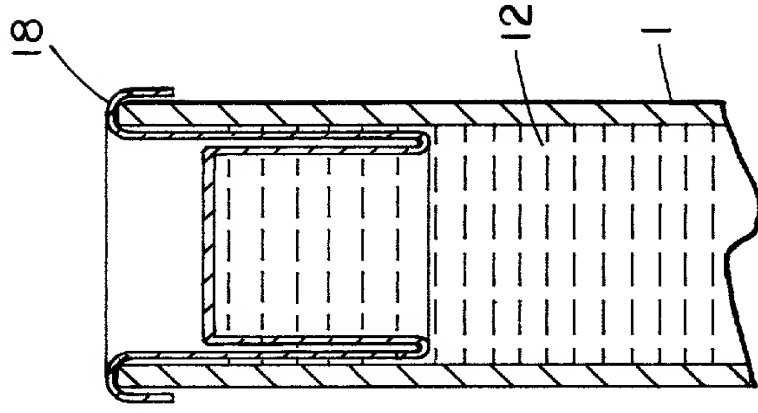


FIG. 13

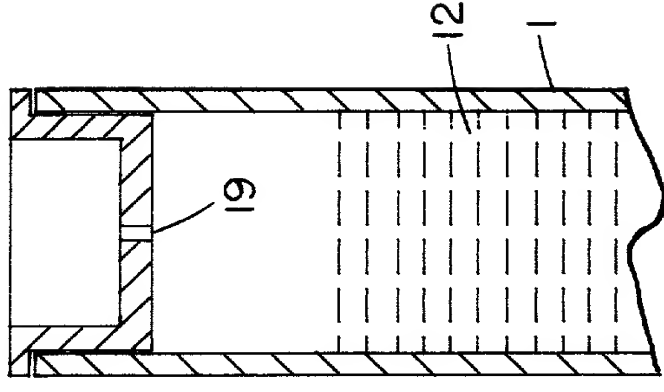


FIG. 14

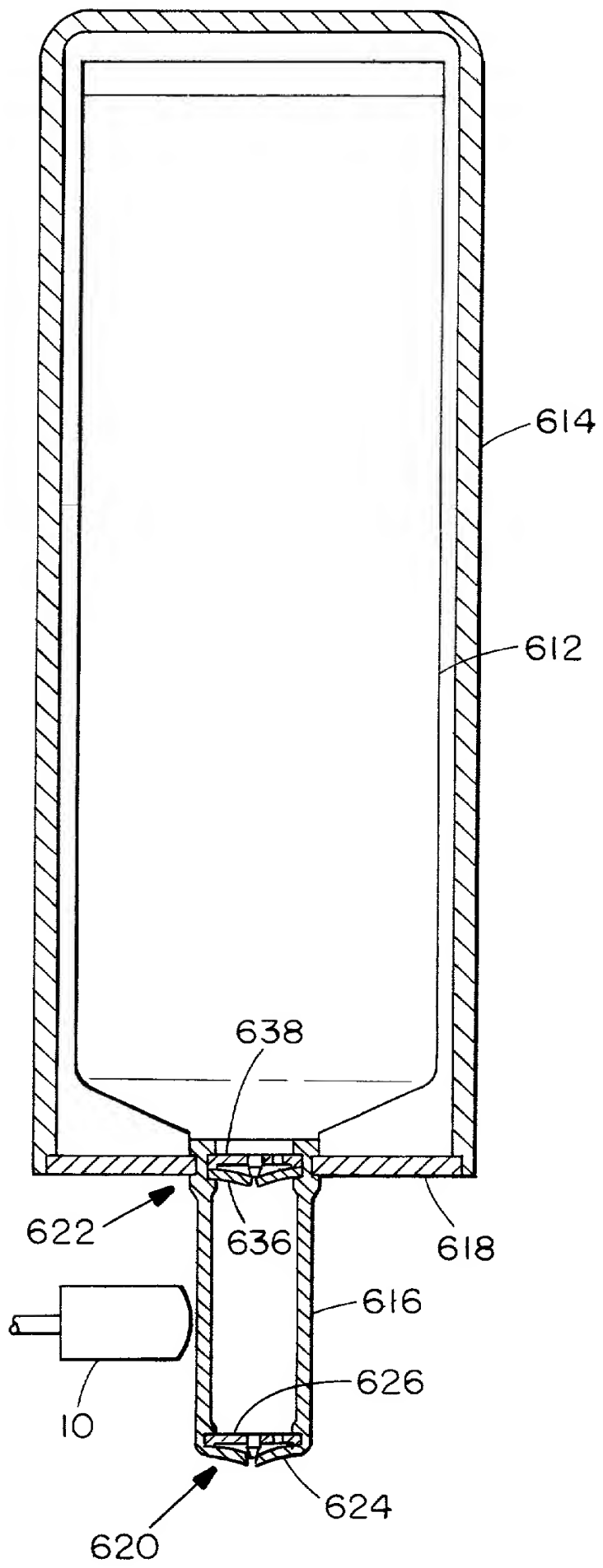


FIG. 15

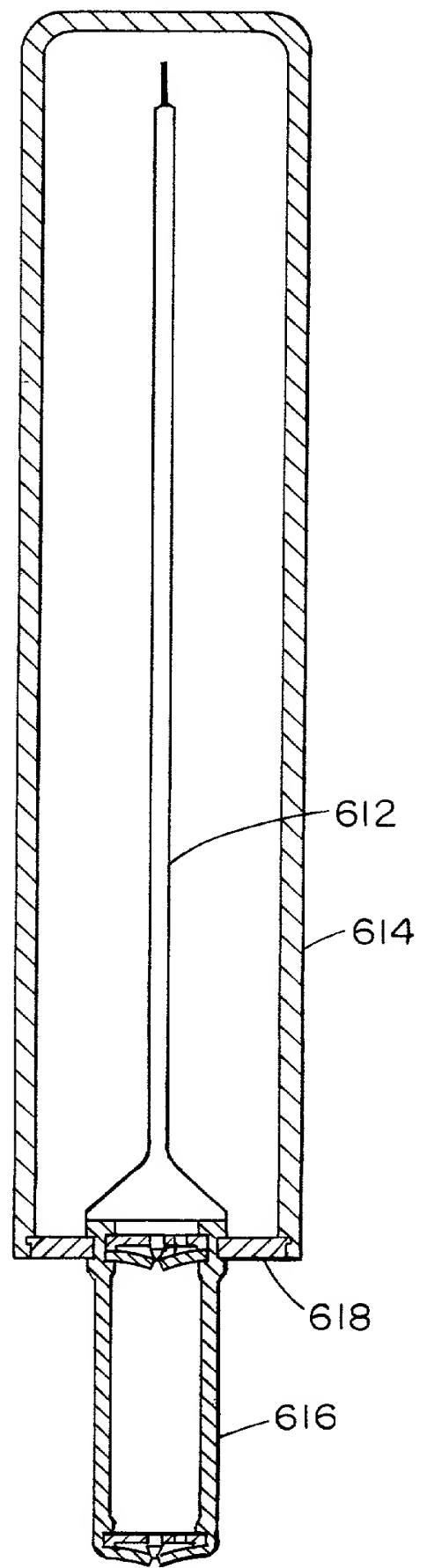


FIG. 16

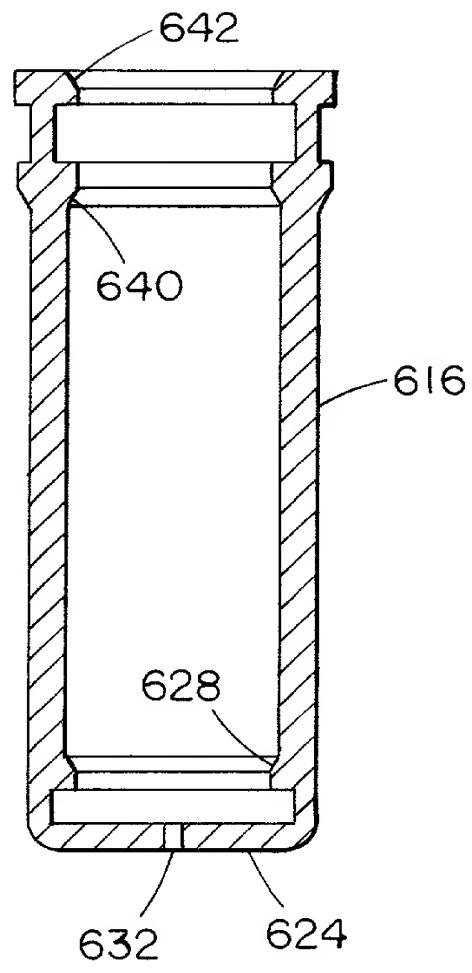


FIG. 17

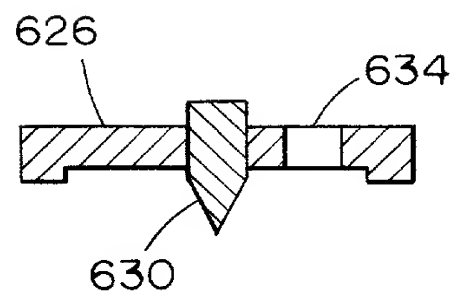


FIG. 18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steven A. Bogen and Herbert H. Loeffler

Title: SLIDE STAINER WITH REMOVABLE REAGENT CARTRIDGE FRAME

Continuation of U.S. Application 09/205,945 filed December 4, 1998

Date: 10-31-00

EXPRESS MAIL LABEL NO. EL 552573405US

DECLARATION UNDER 37 C.F.R. §1.131

Assistant Commissioner of
Patents and Trademarks
Washington, D.C. 20231

We, Steven A. Bogen and Herbert H. Loeffler, declare and state that:

1. We are the co-inventors of the above-identified U.S. Patent Application.
2. The invention described and claimed in the above-identified patent application was completed in the United States prior to September 18, 1991, the filing date of U.S. Patent 5,232,664.
3. Evidence of completion of the invention is presented by the attached Phase II Grant Application. The grant application is dated August 2, 1991 and was filed on or about that day. The assembly described and claimed in the application was tested under a prior phase I grant, the final report of which is included at pages 24-32 of the grant application. Reference is made to figures 1-4 in the appendix, which can be compared to the figures of the present application. In

particular, microscope slides are shown in Figure 3, and liquid reagent cartridges comprising reservoirs and metering chambers are illustrated in Figure 4, as are the solenoid actuators. The following description is at page 25, Section D2, a, i, second paragraph:

The essence of our design concept is an array of reagent reservoirs mounted in a rack on an X-Y axis motion carriage (Figure 1, appendix page 1). The reagent cartridges are held in a removable rack so that they can be removed *en bloc* at the end of the day and placed into the refrigerator. The removable rack can accommodate 20 disposable reagent cartridges. At the start of any day, the appropriate reagent rack is easily inserted into the X-Y axis motion carriage ("dispensing platform").

4. The above features were included in a machine which was constructed and tested prior to the grant application date of August 2, 1991.

5. We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the Application or any patent issuing thereon.

Steven A. Bogen

Date

Herbert H. Loeffler

Date

2025 OCT 14 PM 4:00